



Programme on Using Computer Assisted Audit Techniques (CAAT) for Efficient and Effective Audit (Complete Hands on Training on use of CAAT)

Background

With an increasing use of IT, most of the mission-critical and transactional data is now in electronic form. Auditors whether Financial or System audits have to learn how to access and analyze this data from auditee systems. However, the biggest challenge faced by the auditors is the analyzing this large volume of data available in diverse IT environment and provide assurance to the management on the integrity as well effectiveness of information systems deployed. High-end applications such as Core Banking Solutions and ERP Software provide independent audit modules which can be used by auditors to access the data and also generate reports as per audit objectives. However, the use of tools do not replace the analytical and audit skills but knowing how to use these tools can enhance audit productivity and effectiveness. Hence, it is incumbent on auditors to meet the challenge of auditing electronic data by upgrading their skills with practical knowledge of using CAATs.

Objectives

The programme is aimed at teaching CAATs using specific case studies and scenarios for various types of audit such as financial, compliance, system or internal audit. The faculty will be using general as well specific audit software with special focus on imparting knowledge of how to use relevant audit techniques with hands-on training in lab using sample data of various scenarios.

Course Content

1. Understand Concepts, Standards, Guidelines and Framework of Data Analytics and CAATs
2. Strategy for using CAATs including guidelines and step by step approach.
3. Practical examples and case studies on how to use Excel as an audit tool
4. Learning CAATs by using specific Audit software with practical examples of e-CAAT (tool)
5. Learning CAATs using specific Audit software with practical examples of SoftCAAT (tool)
6. Walk-through of case studies for using SQL and other utility software as CAATs for CBS
7. Using CAAT for various types of IS audit assignments and at different stages.

Target Group

Audit Managers and Auditors, including IS Auditors.

Pedagogy

The programme is designed to be highly interactive with case studies using computer based audit tools. The experienced Faculty will lead group sessions and equip the participants with techniques for effective and efficient audit using electronic data. Participants are expected to learn and use the techniques in the classroom so that they carry the learning and apply it to their work area.

Duration

January 4-6, 2016

The Programme will commence at 9.00 am on January 4 and will conclude by 5.00 pm on January 6, 2016. Participants are requested to reach the campus by the evening of Sunday, January 3, 2016.

Venue

NIBM Campus, Kondhwe Khurd, Pune, India.

Hostel Accommodation

The programme is fully residential. Participants will be provided with well-furnished single room AC accommodation in the Institute's hostel complex on the campus. Participants will not be permitted to bring their family members to stay on the campus. In case any Officer/ Executive with physical/medical disability is being nominated, kindly inform us in advance with particulars of disability to facilitate necessary arrangements. The Institute has facilities for outdoor and indoor games and a large walking/jogging trail for physical fitness besides a yoga centre. Participants are, therefore, encouraged to bring appropriate clothes/gear.



Faculty

CAARafeq

The programme will be conducted by CA A Rafeq, FCA, CISA, CQA, CFE who in a career spanning over 30 years has diverse experience as CIO, CIA, CFO and CEO. He has been involved in design and development of CAAT and Data Analytics tools since last 15 years. CA Rafeq has lead workshops on IT Audit and COBIT at various International Conferences of ISACA and ICAI within India and across the world. He has practical experience in applying Data Analytics in various assignments of IT Audit, Compliance Assessment and Fraud Investigation for clients in India and abroad.

**Last Date for
Receiving Nominations:**
December 24, 2015

**Last Date for
Availing Early Bird Incentive:**
December 19, 2015
*(See Fee Structure on home page of
the website)*

Nominations and Enquiries

Please address your enquiries and nominations along with the fees to:

Shri Sunil Bakshi
Programme Coordinator

Tel : 0091-20-26716000 (EPABX)
26716347 (Direct)

Fax : 0091-20-26834478

E-mail : bakshi@nibmindia.org

Web : www.nibmindia.org

Programme Fee (per participant)

US \$ 1200 for foreign participant

(See Fee Structure on home page of the website for incentive)

Mem. Banks : Fee: 22000 ST: 3080 Fee+ST: 25080 TDS: 2200

Non-Mem. Banks : Fee: 26000 ST: 3640 Fee+ST: 29640 TDS: 2600

The fee includes the cost of tuition, board and lodging facilities, teaching material, etc. (Service Tax @ 14% and TDS @ 10%. Kindly send the TDS Certificate on priority to NIBM).

Mode of Payment for Indian Participants

- The fee may preferably be transferred by RTGS/NEFT/ECS to our A/c No. 20002400021 with Bank of Maharashtra, NIBM Branch, Pune (IFSC Code MAHB0001124). NIBM PAN No. AAATN0040P and ST No. AAATN0040PST001.
- National Institute of Bank Management
NIBM Post Office, Kondhwe Khurd, Pune 411 048, INDIA.

Mode of Payment for Foreign Participants

Mode of Remittance: SWIFT*

1. Name & Address of our Bankers : Oriental Bank of Commerce
C-2, Shop No. 4-5, Bramha Estate
Kondhwe Khurd
Pune 411 048, Maharashtra, India
2. Name of the Account : National Institute of Bank Management
3. NIBM's Bank Account No. : 10881041000177
with Oriental Bank of Commerce
4. Bank's Swift Code : ORBCINBBFCP

Preferred Currency: US Dollar

- Correspondent Bank of Oriental Bank of Commerce : CITIBANK N.A.
- Oriental Bank of Commerce A/c No. : 36152559
with Correspondent Bank
- Swift Code for Citi Bank : CITIUS33

*** The Foreign Bank Charges/SWIFT charges/Commission is to be borne by the remitter. The fees mentioned in the invoice/brochure is to be paid to NIBM, net of all bank charges.**

***Payments will be accepted only through electronic mode.
Cheques/DDs/Pay Orders will not be accepted.**

- For all electronic remittances, kindly send a confirmatory e-mail at: accounts@nibmindia.org giving details of the remitter and participant, name and dates of workshop, etc.**

Please see Programme Fee Structure on home page of the website for Early Bird Incentive, Incentives for SAARC and other developing countries, Mode of Remittance, Pune city route map and local conveyance.